RMS
RETIREMENT MANAGEMENT SYSTEMS

Advisor Enrollment Form

Please complete one form for each office or individual advisor if specifications are office or advisor specific.

RIA Details

Please provide us with your RIA's contact information. This could be the same as your B/D.

RIA Name:			
Address:			
City, State Zip:			
Telephone:		Fax:	
Compliance Contact:			
Marketing Contact:			
Commissions Contact:			

Doing Business As (DBA)

Please provide us with the name of the firm you're doing business as (if different than the RIA name and address).

DBA Name:			
Address:			
City, State Zip:			
Telephone:		Fax:	
Main Contact:			
Email:			

Advisors

Please list the Investment Advisor Representatives at your DBA who will be offering *Savings Plan Management*.

Advisor Name	Email

Send completed form to RMS at fax (410) 573-1196 or email Support@Manage401k.com *(07/2019)*

SmartView for Advisors Portal Management

RMS Offer a portal where advisors can access information about client accounts. The portal allows advisors to view accounts assigned to them or for managers and /or other advisors to also view those accounts.

Please indicate below those advisors to whom RMS should give access for viewing their own accounts and those individuals to whom RMS should give access for viewing others' accounts.

Advisor/Manager	Who Can View This Advisor's Accounts?	Whose Accounts Can This Advisor View?